TOPCAT: Working with Data and Working with Users

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Abstract. TOPCAT is a desktop application for interactive analysis of tabular data, especially source catalogues. Along with its command-line counterpart STILTS, it has been under more or less continuous development for the past 15 years and is now widely used by astronomers from project students to research scientists. This paper reviews its capabilities as a tool for working with large and small datasets, and considers some of the issues in design, implementation and user interaction that have to be tackled when developing software of this kind.

1. Introduction

TOPCAT,\(^1\) the Tool for OPerations on Catalogues and Tables, is a desktop interactive data analysis application for working with tabular data. It is typically used with astronomical source catalogues, but it can also be applied to other kinds of table within or outside of astronomy. The purpose of the software is to take care of all the mechanical operations that astronomers need to perform when working with tables, so that they can concentrate on understanding the scientific meaning buried in the data.

The first release of TOPCAT, in 2003, was as a fairly simple viewer and calculator for data and metadata of tables with I/O support for a number of file formats, including the then-new VOTable. It has been under more or less continuous development since then, and now provides many features including column calculations, graphical or programmatic row selections, highly configurable and scalable visualisation, flexible crossmatching, access to Virtual Observatory services, and more. Since 2005 it has been accompanied by a sister package STILTS,\(^2\) which provides a command-line interface to the same functionality. In 2017, it is an established part of the astronomy software landscape, with an active user base of a few thousands from undergraduates to research scientists (along with a few amateur astronomers, high school students and enthusiasts from outside astronomy), and a few hundred citations in the literature (Taylor 2005). Essentially all of the development has been done by the current author.

This paper discusses some of the problems that the author has had to address in the course of this ongoing development, along with the approaches taken to solve them, with varying degrees of success. This discussion is by no means intended as the definitive manual on how to develop application software, but it is presented as a case study in

\(^{1}\)http://www.starlink.ac.uk/topcat/

\(^{2}\)http://www.starlink.ac.uk/stilts/
the hope that it may be illuminating to other individuals or groups with similar software
development aims.

The problems encountered may be divided into two categories: those that are
mainly technical in nature such as choice of deployment platform or implementa-
tion strategies for efficient data access, and those centred on more human consider-
alions, such as user engagement and user interface design. Those in the former category tend
to admit of fairly well-defined (though not necessarily unique) solutions, while the lat-
ter are more open-ended and more challenging. For this reason it can be tempting to
focus on the technical issues at the expense of the human ones. This however risks
producing software that is technically excellent but not much used, so for software that
is successful in the sense of wide take-up, it is essential to pay attention to both classes
of problem.

The paper is organised as follows. Section 2 reviews the capabilities of the TOP-
CAT application for context. Section 3 discusses some of the technical questions en-
countered during development along with the solutions adopted to address them. Sec-
tion 4 presents a selection of issues more influenced by human factors; for these less
tractable problems no pretence is made of providing solutions, but some best guess
approaches and guidelines are discussed.

2. TOPCAT Overview

TOPCAT is a data analysis application. Its job is to give astronomers the tools they
need to extract maximum value from one type of data product, tables, especially those
produced by the complex, beautiful and expensive detectors built to survey the skies.

General requirements for a data analysis application include capabilities for data
acquisition, metadata inspection, data representation, data integration, data manipula-
tion, and ideally the possibility to combine both exploratory and reproducible modes of
control. This section presents brief discussions of these requirements, along with the
features that TOPCAT/STILTS provides to satisfy them, thus giving an overview of its
main capabilities.

Data Acquisition: TOPCAT offers various ways to import tables into the appli-
cation. The most straightforward is to load data directly from an existing local file.
Various formats are supported, including FITS, VOTable, CDF and a modest selec-
tion of text-based formats including CSV. Additionally, quite extensive capabilities for
external data access are provided, in particular to services implementing a number of
standardised Virtual Observatory protocols.

Metadata Inspection: The availability and importance of metadata is dependent
on its source. For a table loaded, e.g., from a local CSV file, available metadata will
be limited to column names at most. Where the user is familiar with the data and its
provenance this may be quite adequate. But for complex datasets acquired from remote
services whose content and processing are not well understood by the user, per-column
metadata such as units and textual descriptions, and per-table items such as coordinate
system information or query execution parameters, may be essential to understand the
attached data. TOPCAT provides GUI components for displaying and editing such
metadata, and attempts to preserve it over save/load cycles provided a suitably capable
serialization format is used.

Data Representation: TOPCAT provides a data examination window which al-
lows the user to browse cell data of loaded tables. In most cases however, the volume of
TOPCAT: Working with Data and Working with Users

Data makes this of limited use, so a wide range of visualisation capabilities is offered, allowing the user to plot columns against each other using many variations on the theme of a point cloud in one, two or three spatial dimensions, with higher dimensionality representable using attributes such as colour, shape, orientation, labelling etc., and further explorable using linked views (Tukey 1977; Goodman 2012). Special attention is given to representing large datasets in ways that are meaningful (consider for example the problem of representing $10^7$ points on a $10^6$-pixel grid) as well as performant, though tables of tens or hundreds of points are equally well served. The visualisation capabilities are perhaps the most prominent of TOPCAT’s features; this functionality and its implementation are discussed in more detail elsewhere (Taylor 2014, 2017).

**Data Integration:** In an era of multi-wavelength and multi-messenger astronomy, much scientific output comes from a synoptic view of multiple datasets (for instance, multiple observations of the same population at different wavelengths) rather than simply examining a single catalogue. TOPCAT provides a flexible range of crossmatching options to integrate data from different input sources; matching two tables by sky position with fixed or per-object errors is the most common requirement, but other options such as matching within a single table or between three or more tables, and on criteria such as an $N$-dimensional Cartesian position or a unique identifier are also available. Some of this functionality is performed internally, and some (especially where at least one of the tables is too large for local download) interfaces with external services such as the CDS X-Match service (Pineau et al. 2011), upload-capable TAP servers, or by issuing multiple Cone Search requests.

**Data Manipulation:** TOPCAT offers various options to add, delete, or reorder table columns, identify row selections, and perform column calculations. This is partly based on the third-party Java Expressions Library (JEL) which allows the user to write expressions using column names as variables in a familiar but powerful syntax, with an extensive and extensible library of generic (e.g. trigonometry, string manipulation, conditional evaluation) and astronomy-specific (e.g. flux/magnitude conversion, sky distance calculation, time format manipulation) functions. The resulting expressions can define new columns, be plotted directly, or specify selection criteria.

**Exploratory and Reproducible Control:** TOPCAT’s graphical user interface lends itself well to interactive exploration of a dataset to uncover suspected or unexpected features. The various capabilities for inspection of the data and metadata described above provide a powerful platform from which to investigate data whose form or content is not initially well understood by the user, in order to extract scientific meaning. However, in some cases the basic form of a dataset is already understood, and some well-defined sequence of operations needs to be carried out on it. For these cases TOPCAT’s point-and-click interface is less suitable, so the sister package STILTS (Taylor 2006) provides a command-line interface to all the same functionality. The learning curve for STILTS is somewhat steeper than for TOPCAT, especially for visualisation operations that can require complex specifications, and has to date been much less widely used. To help address this, a capability has been added in the most recent TOPCAT release (v4.5) that allows users to set up visualisations in TOPCAT and easily export the STILTS command that would generate the same image.

**Scalability:** TOPCAT is not generally intended to work directly with the largest current survey catalogues, but it can deal with fairly large datasets. Tables with the order of $10^9$ rows are easily handled in TOPCAT on even low-end computers; $10^7$ is generally feasible though with reduced responsiveness, and some users report usage with $10^8$
rows or more. Resource usage is less sensitive to column count; a few hundred columns presents no problem. STILTS has somewhat different implementation constraints, and can for many operations stream input data to work with tables of unlimited size in fixed memory.

3. Implementation Notes

This section discusses some of the technical questions encountered and solutions chosen during development, implementation and deployment of the TOPCAT software.

3.1. External Libraries

TOPCAT makes use of a number of external open-source libraries. Notable libraries include the Java 2 Standard Edition itself for basic utilities, GUI components, XML processing, system interaction etc; the Java Expressions Library for evaluation of user-entered expressions; the PixTools HEALPix library, the CDS/ARI ADQL library, the CDS MOC library, and others. These are used where the required functionality is clearly distinct from the rest of the application and the implementations appear to be robust and trustworthy.

Various other functions for which external libraries exist, however, have been implemented using custom code. In the case for instance of FITS bulk I/O, plot rendering and command-line handling, the behaviour is so integral to the application, in terms of functionality and performance characteristics required, that it is both cheaper in development effort, and provides superior capabilities, to implement them from scratch than to integrate with or adapt off-the-shelf libraries. In other cases, available libraries provide much more general capabilities than are required, and ingesting them into the application could significantly complicate the build process or enlarge the distributed binary. For instance when a bare-bones internal HTTP server was required, it was easier to implement one from scratch (approx 36 kbyte of compiled classes) than to link to the then-available Jetty (1400 kbyte).\footnote{I am indebted to Pierre Fernique (CDS) for pointing this out to me.}

To summarise, external libraries are an essential part of most software development, but they should be selected carefully, and sometimes reinventing the wheel can be the better option.

3.2. External Software

TOPCAT is sometimes described as a Virtual Observatory (VO) application. While many of its functions are independent of network activity, it does derive much of its usefulness from interfacing with VO services, such as Cone Search (Plante et al. 2008), TAP (Nandrekar-Heinis et al. 2014), and the VO Registry (Demleitner et al. 2015). The great advantage of these services derives from the fact that multiple data providers can present their diverse data holdings using a single standard interface. TOPCAT can therefore provide a single client per protocol which is able to access data from many different VO-compliant archives. This benefits the application developer, since client code need only be written once, and also the user, since only one user interface needs to be learnt. These benefits are made possible by the considerable effort expended by
the working groups of the International Virtual Observatory Alliance (IVOA) over the last decade or so in defining these data access protocols and the many related standards on which they build.

TOPCAT interfaces with a few non-standard services as well, in cases where some functionality is uniquely provided from a single source, for instance VizieR, the CDS X-Match service, and ARI’s Global TAP Schema.

It also makes use of the Simple Application Messaging Protocol, SAMP (Taylor et al. 2015). Although TOPCAT’s target is tables, comparison with other data products such as images or spectra may be required. To avoid having to implement for instance image-related display and manipulation internally, it instead communicates with the loosely-integrated suite of SAMP-aware desktop tools, so that image-related functions can be left instead to dedicated image analysis tools such as Aladin (Bonnarel et al. 2000) or SAOImage ds9 (Joye & Mandel 2003).

3.3. Data Access Model

TOPCAT uses a straightforward model for data access: the user identifies and retrieves to local storage a table of interest and then performs operations on it. Such tables may be acquired from various different sources, but once loaded there is no continuing connection with a data server, and if more rows are required the user has to initiate another load operation. This is in contrast to more sophisticated data access models such as that offered by the HiPS system (Fernique et al. 2015), which performs loading on demand of an appropriate level of detail from a large hierarchically prepared dataset held on a remote server.

The two approaches have different pros and cons. TOPCAT’s low-technology approach is not suitable for working directly with the largest surveys, but a subset of manageable size can usually be identified for download, and it has the advantage that no advance preparation, prior assumptions about hierarchical organisation, or special server-side support is required. It is also robust against connectivity issues; once a table has been acquired and saved to local disk, it can be used without a network connection, or if the service that supplied it becomes temporarily unavailable or permanently retired.

3.4. Local I/O

In view of the central use of client-side saved data described in the previous section, efficient access to the data of large tables on local disk is crucial for good performance of the application. TOPCAT makes use of the FITS binary table format (Pence et al. 2010) for caching and persisting tables to local storage. This format provides predictable layout of rows and columns, so that having once read the table header, software can instantly determine the file offset for any given table cell. This predictable layout can be combined with the memory mapping operation offered by most operating systems, so that each cell of a table can be addressed as if it resided in a normal memory location rather than requiring explicit read operations. This approach works even if the table is much larger than physical RAM, and benefits from optimised page caching with little effort from application or library code, since the I/O management is handled transparently by the OS. In practice it delivers very efficient sequential and random access, along with effectively instant loading, for even very large tables (Taylor & Page 2008).

While FITS is good for bulk data storage, its capabilities for metadata storage are fairly primitive. TOPCAT therefore arranges to store rich metadata, in a serialization borrowed from the VOTable format, in otherwise unused parts of the FITS file. It also
uses one or two other private conventions, to provide column-oriented storage in some cases and to work round the FITS limitation of 999 table columns if required. The files it writes in this way are legal FITS, but in some cases other FITS readers may see less content than TOPCAT is able to.

3.5. Scalability

TOPCAT, and especially the library code underlying it, takes great care to impose as few restrictions as possible on the size of dataset on which it can operate. This kind of scalability is not easy to retrofit onto existing software, it has to be built in from the ground up.

To achieve this, when processing potentially large collections, generally of table rows, care is taken to avoid assumptions that might be violated if the collection is large or even unbounded (streamed). The following techniques are used in the code:

- Data access is performed via polymorphic abstractions (java interfaces) rather than assuming any particular data structure (such as a java array).
- 64-bit rather than 32-bit integers are used to index or count collections.
- Algorithms using indexed (random) access are avoided in favour of ones using (sequential) iterators.
- Algorithms requiring memory that scales with collection size are avoided if at all possible.

A case in point for the last item is for visualisation. Most off-the-shelf interactive plotting libraries allocate an object or a few bytes for each plotted point. Most of the plotting routines in TOPCAT instead allocate a fixed grid of pixels and populate it progressively while iterating over each plotted point. This enables generation of scatter plots or density maps of arbitrarily large tables in fixed memory.

3.6. Deployment Platform

TOPCAT is implemented in pure Java. The Java language has many features which have facilitated development, including a solid base of library classes, static typing, and good concurrency support amongst other things. Probably the most beneficial factor however has been the insulation from installation environment ensured by its Virtual Machine-based architecture. Software build is done once, and distribution is essentially a case of providing a single architecture-independent “jar” file, avoiding the necessity to build on or support multiple OSes or OS versions, and facilitating installation from the user point of view.

The restriction to pure Java brings disadvantages as well. One is that C-based libraries are essentially inaccessible; for this reason TOPCAT has for instance no HDF5 support, and integration with CPython is not straightforward. Integration with OS-specific desktop features can also be imperfect.

A current trend in interactive astronomy software, for instance Aladin Lite (Boch & Fernique 2014) and Firefly (Roby et al. 2013), is migration into the browser, and the question is sometimes raised of whether TOPCAT’s functionality will or should be made available as a web application. Such a move would certainly present some advantages, not least reducing the barrier to entry for beginning users. However, the sandboxing imposed by browsers precludes the memory-mapped access to bulk client-side data that underlies TOPCAT’s performance with large tables. Considering as well issues relating to multi-environment support, the difficulty of presenting the GUI in a single
browser window, and the implementation effort required, a wholesale port of TOPCAT
to a web application is not under consideration for the foreseeable future. Some use of
the library code to provide server-side visualisation with an interactive browser-based
front end may however be investigated.

4. User Considerations

This section presents a selection of issues concerned more with human psychology than
with the manipulation of bytes. Some pointers and guidelines to addressing them are
given, but in most cases this paper does not have straightforward solutions to present.

4.1. Take Up

One criterion of software success is how widely it is used, compared with some measure
of the potential user base. Encouraging a target demographic to download, install, start
up and make meaningful use of a software application is a difficult job, and providing
an excellent product is unfortunately no guarantee of this kind of success.

Human-Computer Interaction in this sense begins not when the user is sat in front
of a given running application, but when she is considering how to get a certain job
done. Learning a new tool is *prima facie* a less attractive option than making use of
a familiar one, so astronomers, like other humans, are generally resistant to using new
software. With this in mind, it is important to make entry barriers low and first impres-
sions good: installation and startup should be made as simple as possible, and beginning
use should provide rewarding results in little time and with minimal effort. Tutorials or
classes (a captive audience forced to get over the hurdle of initial use) can work well,
as long as they leave a good impression. Word of mouth is very useful, particularly an
enthusiastic user in a research group. Tutorial and reference documentation and on-line
teaching materials ought to be provided, though it is probably true that most users don’t
read them. However, there is no magic recipe for this. Many factors such as geograph-
ical and political ones are likely to be beyond the control of the software developers,
and in any case encouraging take-up is a long job. At the time of writing, TOPCAT has
been available for almost 15 years, and citations are still rising more or less linearly,
which suggests that saturation of the potential user base has yet to be reached.

4.2. Defining Requirements

A well-known, though possibly apocryphal, quote attributed to Henry Ford claims that
if he had asked his customers what they wanted, they would have demanded faster
horses. Asking users what they want from software sounds like a promising idea, but
users in fact do not know. Specifying requirements for data analysis software is a dif-
ficult job, and astronomers are in general much too busy doing astronomy to expend
the necessary effort. This task is really the responsibility of the software developer or
project team. Top-down visionary design also sounds promising, but at least in TOP-
CAT’s case, the author also lacks the necessary abilities. Instead, a policy is adopted
of incremental development informed by user engagement, with a short iteration cycle.
Some functionality is tentatively implemented and published to users, and feedback
such as requests for additional related functionality is used to direct future develop-
ment. New requirements can also be gathered from more general feedback. Sometimes
users will request or suggest a new feature which can be implemented directly, but more
often such input serves to indicate the kind of problems that users are trying to solve, which can inform the design of new, perhaps more general, capabilities.

4.3. User Engagement

Effective user engagement is an essential part of the requirement gathering process. All user engagement is considered beneficial, and it is encouraged wherever possible.

Bug reports are particularly useful, indicating that a user is not only using a particular feature, but cares enough about its correct operation to file a report. As well as identifying errors to be fixed, they also provide a sample of actual usage patterns that may suggest missing functionality or opportunities for improvement.

A public user mailing list is provided for discussion of TOPCAT. At time of writing it has around 150 subscribers and a few threads per month. In most cases queries are posted by users and answered quite promptly by the author, though sometimes subscribers answer each other’s questions. In either case, replies offer an opportunity to publicise functionality that other list subscribers may not be aware of. Use of the mailing list is not however obligatory for support requests; users are always welcome to mail the author directly, if they prefer private communication.

Preparing and delivering software tutorials and demonstrations is difficult and time-consuming to do well, but it is a valuable activity. Apart from the (hopefully) positive promotional benefits, constructing worked examples that use the software in question to perform some useful scientific task can provide a user’s-eye view of missing, inadequate or broken functionality. A developer required to demonstrate the software in action in front of a live audience also has an excellent motivation to ensure that the user interface and implementation are fit for purpose.

Contact with multiple projects is also helpful. TOPCAT has been embedded in or funded under the auspices of numerous different organisations, from Starlink and AstroGrid in the early days to various Euro-VO projects, GAVO, ESA, DPAC and others. This somewhat nomadic existence has largely been driven by availability of funding, but involvement with different software or astronomy projects provides the benefit of exposure to different sets of users, requirements or data holdings, and this broadened perspective has helped to maintain the appeal of the software to a wide user base.

4.4. Prioritising Implementation

Having gathered requirements for new or improved capabilities, it is necessary to decide which items to implement from a list which generally represents more than the available developer effort. A useful rule of thumb is to do easy things first: implementation effort is often not closely correlated with user benefit, so that impact can often be improved by prioritising short jobs.

Beyond that, the main consideration when deciding what capabilities to add to TOPCAT relates to the user interface. It is all too easy to introduce a feature which only the application author and the user who requested it know is present, and such unknown features rarely represent efficient use of developer time. New features must therefore be discoverable, and should preferably not degrade the user interface by making existing features harder to use or to discover. Demo-ware, expert-only controls, functionality which is highly data-specific, and feature creep are all temptations to be resisted. This is a difficult balancing act, since adding new controls is bound to crowd out existing ones to some extent. Managing it requires simultaneous attention to the constraints of design, implementation and user support.
4.5. GUI Design

TOPCAT is a complex application with many functions. Providing a Graphical User Interface that exposes all this functionality in a way which is comprehensible and usable, and preferably intuitive and unobtrusive, is perhaps the most challenging problem its implementation presents. A good GUI should be simple, flexible, responsive, represent status visually, indicate control functions using recognisable visual idioms, and preclude or at least report erroneous control combinations. Obstacles to this include limited availability of screen real estate to accommodate controls and indicators of many-element status, provision of recognisable idioms for novel functions, and responsiveness for large datasets. These problems become harder the more functionality is added, and are especially acute for TOPCAT’s visualisation windows, which offer a very wide range of configuration options for specifying plots. GUI design in TOPCAT is far from a solved problem, and it is likely that in many cases users are unaware of the full range of functionality from which they could benefit. Nevertheless we present some principles on which the GUI design has been based.

First, require minimal user effort. The GUI should do its best to leave the user’s cognitive abilities free for thinking about the science rather than about the software. Where possible, the software should anticipate the user’s wishes to generate the desired result without any user action at all, though the user must be free to override such automatic decisions. This can be achieved by ensuring that all controls take suitable defaults. Where explicit configuration actions are required, it should be obvious how to take such actions, for instance by selecting options from a list. Providing an empty field for the user to fill in with some numeric or other value is avoided if at all possible.

Secondly, the interface should be explorable. The most important or commonly-required controls should be placed in an obvious part of the screen, while less essential controls should be reachable by exploration, for instance by clicking on tabs with appropriate names or buttons bearing suggestive images. Finally, the effect of adjusting any control should be instantly reflected in the display. Once the user is familiar with basic operation, which should be easy to master, she can experiment with other parts of the GUI by viewing unfamiliar components and playing with controls to see the effect they have on the display. This facilitates a hands-on route to self-education about the available capabilities and how to activate them.

These principles have been applied to TOPCAT’s visualisation windows, the most complex parts of its GUI. When a 2-d plot window is opened, a plot is immediately displayed. The plotted quantities are chosen automatically (the first two numeric columns of the current table are plotted against each other), the axes are automatically scaled to the data range, and the shading mode is set to one that makes sense for small or large datasets — in sparse regions it resembles a scatter plot and in dense regions it resembles a density map (Taylor 2014). All configuration options have default values that combine to give a reasonable-looking plot, and the same rule is followed when overlaying other plot types such as contours or histograms. Without making any decisions therefore, the user is presented with a plot rather than a blank screen. This is almost certainly not the plot the user wants to see, but it provides a comprehensible starting point from which various options can be adjusted, according to the user’s requirements and the level of effort they are willing to expend. Any change to the controls triggers an immediate replot so the effect of any GUI interaction is instantly visible; implementing this in a responsive manner entails considerable effort (Taylor 2017), but provides a much better user experience than requiring, for instance, the user to hit a replot button.
5. Conclusions

This paper reviews the capabilities of the TOPCAT application and presents some solved and unsolved problems encountered during its development. Implementation approaches are discussed for a client-side analysis application capable of retrieving quite large datasets from external services and manipulating them locally. Some other questions for which solutions are more elusive are also discussed, such as requirement gathering, user engagement, and GUI design. These insights have been acquired during the development of a particular software suite, but it is hoped that they may be of interest to individuals or groups developing other user software with similar aims.

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